

**USA SWIMMING
ATHLETE SELECTION PROCEDURES
2017 WORLD UNIVERSITY GAMES
August 19-30
Swimming Dates: August 20-26, 2017
Chinese Taipei, Taiwan**

I. SELECTION SYSTEM

The 2017 World University Games (the "Championships") will be conducted in Chinese Taipei August 20-26, 2017.

1. The following common definitions shall apply to this Team Selection Procedure.

- A. Available Swimmer. "Available Swimmer" shall mean a swimmer who, at the time of selection and through the Championships: (i) is a registered athlete member of USA Swimming in good standing, (ii) is a citizen of the United States who is eligible to represent the United States at the Championships, (iii) qualifies for the Team in an Event according to these Procedures, (iv) satisfies all the FISU, FINA, World Anti- Doping Agency (WADA), United States Anti-Doping Agency (USADA) and USA Swimming applicable eligibility requirements, (v) has not qualified for the 2015 World Championship team, (vi) accepts the invitation to become a member of the Team when that invitation is offered by USA Swimming, (vii) agrees to swim in at least one Event for which he/she qualifies, and (viii) continues to train for peak swimming performance through the Championships. If a swimmer does not satisfy these requirements, then he/she is not considered an Available Swimmer.
- B. Qualifying Competition. "Qualifying Competition" shall mean the 2017 Phillips 66 USA Swimming National Championships to be conducted June 27- July 1, 2017 in Indianapolis, IN. Times will only be considered from the Finals, times from other races, including without limitation the relay lead-offs, intermediate splits and time trials shall **NOT** be considered for selection (unless otherwise provided herein), but may be considered in making other determinations as described herein.
- C. Championships. "Championships" shall refer to the 2017 World University Games. For purposes of these Selection Procedures, the beginning of the Championships will be August 19, 2017.
- D. Event. "Event" shall refer to competitions held in long course meters only at the Championships and Qualifying Competition.
- E. Finals. "Finals" shall mean the A and B finals of the Qualifying Competition.
- F. Head Coach. Unless otherwise indicated, "Head Coach" shall refer to the head coach of the Team for each gender.
- G. National Team Director. "National Team Director" shall refer to the USA Swimming National Team Director, or his designee.

- H. Swim Offs. "Swim Offs" may be held at the Qualifying Competition for the sole purpose of determining priority selection order. Swim Offs are put in place to break ties between athletes in the same qualifying event and are a one-time opportunity to resolve the tie for placement on the Team. Except for breaking a tie, the time from the Swim Off will NOT count towards selection to the Team.
- I. Team. "Team" shall mean the 2017 World University Games Team announced on or before July 9, 2017.
- J. World Ranking. "World Ranking" shall mean the ranking of the time swum by a swimmer in the Finals integrated into the fina.org 2017 World Rankings as of June 19, 2017.

2. **Eligibility Requirements for Selection.**

- A. To ensure that USA Swimming selects the best possible team for the World Championships, all swimmers who qualify for the World Championships are ineligible for selection to the Team, unless otherwise agreed to in writing by USA Swimming. Athletes will be allocated to the teams for these two competitions in the following order of importance to USA Swimming and must swim in the competition to which they are assigned, unless otherwise excused pursuant to these Selection Procedures:
 - (i) 2017 World Championships
 - (ii) 2017 World University Games
- B. All athletes selected must be between 17 and 23 years of age at the beginning of the Championships.
- C. To be eligible to compete at the Championships, a swimmer must, at the beginning of the Championships, be officially registered as proceeding towards a degree or diploma at a university or similar institution whose status is recognized by the appropriate national academic authority of the United States.
- D. Former students of the institutions mentioned above, who have obtained their academic degree or diploma in the year preceding the Championships (2016-2017 school year), will be considered for the team, subject to FISU and other eligibility requirements.

3. **Additional Requirements upon Selection.**

All swimmers selected to the Team must comply with all applicable rules and requirements of FINA, WADA, USADA, FISU, and USA Swimming. Specifically, and without limitation, each Available Swimmer selected to the Team shall:

- A. Sign and abide by the USA Swimming Competition Forms (forms will be made available prior to the Qualifying Competition) and conform to all USA Swimming policies and protocols regarding Team preparation and participation; and

- B. Agree to be filmed and photographed by the official photographer(s) and network(s) of USA Swimming under the conditions authorized by USA Swimming and give USA Swimming the right to use his or her name, picture, likeness, and biographical information to promote the sport of swimming.

4. **Team Selection—Making and Filling the Team.**

- A. Team Size. The maximum allowable Team size is up to twenty (20) men and twenty (20) women. The actual Team size will be determined once the selection criteria have been implemented. For purposes of these Selection Procedures, the men's and women's components of the Team will be considered separately.
- B. Elimination of Swimmers Qualifying for the World Championships. Swimmers who qualify, or are otherwise added to compete, in the 2017 World Championships team will not be considered Available Swimmers and will be eliminated from consideration for the Team, unless otherwise approved in writing by USA Swimming as provided in these Selection Procedures. The ranking order for all Available Swimmers will be correspondingly adjusted to reflect the elimination of swimmers qualifying for the 2017 World Championships team prior to the selection criteria set forth below being applied.
- C. Performance Criteria for Team Selection. Performances in the individual Olympic Events at the Qualifying Competition will be prioritized for each gender as follows, up to a maximum of twenty (20) men and twenty (20) women. Only Available Swimmers who qualify under one of the two priorities set forth below are eligible for selection to the Team. Available Swimmers not qualifying under one of these priorities will not be eligible to be selected to the Team, unless they are subsequently selected to fill a vacant position according to Section I.5. If, after applying the two priorities set forth below, there are less than twenty (20) men or twenty (20) women, or both, on the Team, then USA Swimming will not field a full Team unless the Team is filled as a result of filling vacant positions as provided in Section I.5.

Regardless of the time swum in the Finals, all Available Swimmers competing in and finishing the “A” Finals of the Qualifying Competition will be ranked higher than Available Swimmers competing in and finishing the “B” Finals. For example, the finishers in the “A” Finals will be ranked 1-8 (assuming all eight competitors finish and are Available Swimmers), the top finishing Available Swimmer in the “B” Finals will be ranked 9th, and so on.

The Team for each gender shall be selected based on the following priorities:

Priority #1. The first priority shall include both (i) the two highest finishing Available Swimmers based on the finish order in the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle Events, and (ii) the highest finishing Available Swimmer based on the finish order in the Finals of the Qualifying Competition in each of the individual Olympic Events other than the 100-meter and 200-meter freestyle Events.

Priority #2. The second priority shall include the second highest finishing Available Swimmer based on the finish order in the Finals of the

Qualifying Competition in each of the individual Olympic Events other than the 100-meter and 200-meter freestyle events.

Priority #3. The third priority shall include the third highest finishing Available Swimmer based on the finish order in the Finals of the Qualifying Competition in the 100- meter and 200- meter freestyle events.

- D. Decreasing Team Size. If the prioritization procedures described above result in a list of either more than twenty (20) male or more than twenty (20) female swimmers, then the swimmers of each gender shall be ranked and selected to the Team according to the following system:
- i. All swimmers from priority #1 shall be selected to the Team.
 - ii. If selecting swimmers from Priority #1 and #2 would result in more than twenty (20) swimmers per gender on the Team, then priority #2 swimmers will be invited to fill the Team in order of World Ranking until a maximum of twenty (20) swimmers are selected.
 - iii. If selecting swimmers from Priority #1, Priority #2, and Priority #3 would result in more than twenty (20) swimmers per gender on the Team, then Priority #3 swimmers will be invited to fill the Team in order of World Ranking until a maximum of twenty (20) swimmers are selected.
 - iv. If all priority #3 swimmers are named to the Team and the maximum Team size of twenty (20) swimmers per gender has not been attained, no additional athletes will be named to the Team, except as may be provided in Section I.5.
- E. Breaking a Tie.
- i. Tie in Same Event. In the instance where there is tie between two Available Swimmers in the same Event for first place, both Available Swimmers will be considered to fall under Priority #1. In the instance of any other tie in the same Event (including without limitation a tie between three or more Available Swimmers for first place in an individual Olympic Event), where such tie would be likely to impact the Priorities and/or Selection to the Team, the tie will be broken through a Swim Off. The Swim Off will be held according to the following procedures:
 - (a) The Swim Off will be conducted at the Qualifying Competition unless otherwise agreed by USA Swimming. The Swim Off will be conducted at a time determined by the National Team Director, the Available Swimmers, and the personal coaches of the Available Swimmers.
 - (b) If all parties cannot agree on a date, time and place for a Swim Off, then the decision of the National Team Director will be final, binding and not subject to challenge.

- ii. Tie in Different Events. In the instance where there is a tie between two or more swimmers in different Events for the final position(s) on the Team, the tie will be broken as follows:
 - (a) Each Available Swimmer's performance swum in his/her Event at the Finals of the Qualifying Competition will be converted into a ratio, using the Qualifying Competition time as the denominator, and the FINA-approved World Record for that Event as of June 19, 2017 as the numerator, and the Available Swimmer(s) with the highest percentage(s) shall be selected to the final position(s) on the Team.
 - (b) If this does not break the tie, then each Available Swimmer's performance in his/her Event in the Finals of the Qualifying Competition will be rated according to the Long Course Point Ratings (published by FINA in February 2017) and the Available Swimmer(s) with the highest rating shall be selected to the final position(s) on the Team.
 - (c) If this does not break the tie, the Available Swimmer(s) with the highest World Ranking in his/her respective Event will be added to the Team.
 - (d) If this does not break the tie, then the Available Swimmer with the highest World Ranking in a different individual Olympic Event (other than the swimmer's Event involved in the tie) will be added to the Team.
 - (e) If this fails to break the tie, the tie will be broken by a coin toss.

5. **Adjustments to the Team and Events Between Selection of Team and July 10, 2017**

- A. Filling Vacant Team Position. If, for any reason, a position in an individual Olympic Event shall become vacant between the selection of the Team and the entry date for the Championships, 12:00 noon MT, July 10, 2017, such vacancy shall be filled as follows, provided, however, that nothing in this Section I.5 shall have the effect of bumping off the Team a swimmer who has already been selected to the Team:
 - 1. Team Was Not Full Prior to Vacancy. If a vacancy occurs when the Team, prior to the vacancy, did not have a full contingent of swimmers for that gender (less than twenty (20)), then the vacancy will be filled by the next highest placing Available Swimmer based on the order of finish in the Finals of the Qualifying Competition from each Event left vacant by the vacancy in the Team. If the next fastest Available Swimmer is already on the Team, then he/she shall serve as the replacement in that Event, and no swimmer from that Event will be added to the Team, provided, however, that the replacement swimmer must agree, after consulting with the Head Coach and National Team Director, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then the

replacement swimmer shall not be considered an Available Swimmer for that Event. If adding the next fastest Available Swimmer from each individual Olympic Event left vacant would cause the Team to exceed the maximum number of twenty (20), then those swimmers who qualify as the next fastest Available Swimmer in the individual Olympic Events left vacant shall be ranked according to the procedure described in Section I.4.D, and the Available Swimmers ranked highest according to that procedure shall be added to the Team in order until the Team reaches its maximum number of twenty (20) or all vacant Events have been filled.

2. Filling Vacant Event Position with No Team Vacancy. If the Team is full (twenty (20) per gender) after the withdrawal from an Event, the vacancy in any Event(s) shall be filled by a swimmer already on the Team. First priority will be given to the next highest placing Available Swimmer based on the order of finish in the Finals of the Event at the Qualifying Competition. If no athlete meets this criteria, then the athlete already on the Team who has recorded the highest 2017 World Ranking in the Event will be offered the position; provided, however, that the replacement swimmer must agree, after consulting with the Head Coach and National Team Director, to compete in the additional individual Olympic Event. If the replacement swimmer does not agree to swim in the additional Event, then the replacement swimmer shall not be considered an Available Swimmer for that individual Olympic Event. This process shall repeat until the Event is filled or the list of swimmers already on the Team has been exhausted.

6. Selection of Swimmers for Events.

Provided that all Priority #1 and Priority #2 swimmers have been selected to the Team, the first and second place finishers in each Events at the Qualifying Competition will swim the individual Olympic Event at the Championships (unless the swimmer chooses not to swim in that individual Olympic Event or is otherwise replaced pursuant to these Procedures). If an Event is vacant because not all Priority #2 swimmers are added to the Team, then the vacancy will be filled according to the procedure set forth in Section I.5.A.2.

Swimmers in the relays will be determined according to a discretionary selection.

Discretionary selection will not be used for any swimmers in Events from the Qualifying Competition. However, all swimmers who are nominated to the Team on or before July 10, 2017 shall be eligible for selection to any and all relays to be held at the Championships. Discretionary selection may also be used in the case of the individual non-Olympic events as described in Section III.B below.

Relays. The Head Coach, in consultation with the assistant coaches and National Team Director, shall select the swimmers for each relay conducted at the Championships. In selecting the relay teams, the Head Coach, in consultation with the assistant coaches and National Team Director, shall consider all available information, including but not limited to each Team member's Qualifying Competition performances (including

preliminary times, time trials, and relay lead-off times), past relay performances, past relay reaction times, relay exchange experience, Championships performances, personal best performances, Championships event schedules, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, participation in relay training camps, scientific evaluation, attitude and team chemistry. Selection of swimmers for relays shall not be subject to challenge.

Swimmers in Individual Non-Olympic Events. For swimmers in the individual non-Olympic Events at the Championships (M/W 50 Butterfly, M/W 50 Backstroke, M/W 50 Breaststroke, Men’s 800 Freestyle and Women’s 1500 Freestyle), athletes will qualify via the following selection method:

(i) Individual Non-Olympic Event Entries – The Event entry opportunities for the individual non-Olympic Events will be given to those athletes who have qualified for the Team as Priority #1 and Priority #2 in the Events listed below:

First Offer to Those on Team In:		Individual Non-Olympic Event
Men’s/Women’s 100 Butterfly	for	Men’s/Women’s 50 Butterfly
Men’s/Women’s 100 Backstroke	for	Men’s/Women’s 50 Backstroke
Men’s/Women’s 100 Breaststroke	for	Men’s/Women’s 50 Breaststroke
Women’s 800 Freestyle	for	Women’s 1500 Freestyle
*Men’s 400 and 1500 Freestyle	for	Men’s 800 Freestyle

Example: For the men’s 50 Butterfly, the first entry will be offered to the highest finishing Available Swimmer in the 100 Butterfly at the Qualifying Competition, and the second USA entry will be offered to the second highest finishing Available Swimmer in the 100 Butterfly at the Qualifying Competition.

* The two highest finishing Available Swimmers in the men’s 400 and 1500 Freestyle who have the highest World Rankings will be offered the opportunity to swim the men’s 800 Freestyle. If this results in a tie, the Available Swimmer among the tied swimmers with the highest World Ranking in the 800 Freestyle shall first be offered the opportunity.

(ii) Unfilled Individual Non-Olympic Event Positions- Should one or more swimmers from one or more of these individual non-Olympic Events decline the opportunity to swim the individual non-Olympic Events, unfilled position(s) shall, after consultation between the swimmer and Head Coach and National Team Director, be filled by the Team member(s) with the highest World Ranking in the applicable individual non-Olympic Event. If vacant individual non-Olympic Event positions are still not filled, those positions shall be filled from among other swimmers already on the Team at the discretion of the Head Coach, in consultation with the assistant coaches and the National Team Director.

II. ENTRY ADJUSTMENTS

Adjustments to the Team After July 10, 2017. If, for any reason, an additional Team position or an additional individual Olympic Event position shall become vacant after 12:00 noon Mountain time on July 10, 2017, no additional members will be added to the Team. In the event that USA Swimming is permitted to fill a vacant individual Olympic

Event position, such vacant Event position shall be filled according to the procedure set forth in Section I.5.A.2.

III. DISMISSAL FROM TEAM

Any swimmer may be dismissed from the Team at any time following an appropriate hearing if (i) the swimmer violates any of the applicable FISU, FINA, WADA, USADA, USOC, USA Swimming or other rules and regulations applicable to the Team or its members, including without limitation applicable Codes of Conduct; or (ii) the National Team Director, in consultation with the Head Coach, the USA Swimming Steering Committee Chairman and the USA Swimming Athletes' Executive Vice President, determines that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the Championships.

If a violation occurs during a team competition or camp and is deemed detrimental to the team, the National Team Director or his designee and the Head Coach reserve the right to dismiss an athlete from the team at the appropriate time, following an appropriate hearing.

If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer shall remain on the Team (or remain eligible to be named to the Team) until: 1) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the USADA Protocol for Olympic Movement Testing or by FINA; 2) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or 3) the swimmer voluntarily withdraws from the Team or relinquishes eligibility to be named to the Team. Confidentiality regarding alleged anti-doping rule violations shall be maintained as provided in the USADA Protocol for Olympic Movement Testing.

IV. REMOVAL FROM TEAM DUE TO INJURY OR ILLNESS

USA Swimming may remove an athlete from the Team who has an injury or illness, as certified by the approved Team physician that is likely to keep him or her from competing at peak levels in the Championships. If an athlete refuses to be examined or certified for his/her illness or injury by the approved Team physician (or the physician's medical staff), the athlete's injury will be assumed to keep him or her from competing at peak levels in the Championships, and he/she may be removed.

V. CHALLENGE

Subject to an athlete's rights under Section 9 of the USOC Bylaws, any challenge to these Procedures or any decision made pursuant to or in connection with these Procedures shall be handled as follows: 1) if such challenge relates to an alleged violation of a technical rule, it shall be handled according to the procedure set forth in Part One of the USA Swimming Rules and Regulations (which can be found at www.usaswimming.org); 2) if such challenge relates to an alleged violation of the National Team Honor Code, it shall be handled according to the procedure set forth in USA Swimming Steering Committee Manual; and 3) if such challenge does not relate to an alleged violation of a technical rule or an alleged violation of the National Team Honor Code, it shall be handled according to USA Swimming's Hearing Procedure set forth in Part Four of the USA Swimming Rules and Regulations. Any hearing required in order to resolve a challenge shall take place on an expedited basis if necessary in order to

resolve the challenge in sufficient time for Team selection to be finalized by a relevant deadline.

VI. DATE OF ENTRY SUBMISSION

USA Swimming will submit all entries for the Championships at 12:00 noon Mountain time on July 10, 2017.

VII. ASSEMBLY, TRAINING AND COMPETITION

Athletes nominated to the Team will be required to participate in assembly, training and competition, and remain with the Team throughout the Championships. Specific dates/locations of mandatory training and competition are:

San Francisco	August 15, 2017	Assembly and Travel Training
Taipei	August 20-26 August 27	World Univ. Games Competition Return Travel to U.S.

- Dates are subject to change

VIII. PUBLICITY/DISTRIBUTION OF PROCEDURES

These Procedures, when approved, will be published on the USA Swimming website, usaswimming.org. Additionally, the Procedures will be emailed to National Team athletes and their personal coaches at the time of entry, and will be available to be distributed at the Qualifying Competition at the general meeting.

IX. INTERNATIONAL DISCLAIMER; PRIORITY OF WRITTEN PROCEDURES

- A. These selection criteria are based on the latest information available to USA Swimming and are based on FINA and USA Swimming rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in FINA or USA Swimming rules and regulations or other unforeseen circumstances will be distributed to the affected athletes as soon as possible.
- B. An athlete who is nominated to the Team by virtue of an error or oversight by USA Swimming may be removed from the team at any time.
- C. Priority of Written Procedures. The terms and conditions of these written Selection Procedures shall take priority over any verbal explanation, clarification or interpretation of the Selection Procedures, and an athlete relies on a verbal explanation, clarification or interpretation of these Selection Procedures at his or her sole risk. Any request or question that would involve a formal clarification or interpretation of these Selection Procedures, or that would address an issue not otherwise covered in these Selection Procedures, should be submitted to the National Team Managing Director at USA Swimming in writing, and the response to such request by USA Swimming will be provided in writing back to the requestor within five (5) business days.